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NOTICE OF ALLOWANCE AND FEE(S) DUE

23117

7590

03/25/2009

NIXON & VANDERHYE, PC 901 NORTH GLEBE ROAD, 11TH FLOOR ARLINGTON, VA 22203 EXAMINER

CHOWDHURY, IQBAL HOSSAIN

ART UNIT PAPER NUMBER

1652

DATE MAILED: 03/25/2009

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/679,692	10/07/2003	Benjamin G. Davis	GRT/117-480	7864

TITLE OF INVENTION: MODIFIED CARBOHYDRATE PROCESSING ENZYME

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$0	\$0	\$1510	06/25/2009

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450

Alexandria, Virginia 22313-1450 (571)-273-2885 or <u>Fax</u>

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

Note: A certificate of mailing can only be used for domestic mailings of the CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address) Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. 23117 7590 03/25/2009 Certificate of Mailing or Transmission NIXON & VANDERHYE, PC I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below. 901 NORTH GLEBE ROAD, 11TH FLOOR ARLINGTON, VA 22203 (Depositor's name (Signature (Date APPLICATION NO. FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. FILING DATE 10/679.692 10/07/2003 Benjamin G. Davis GRT/117-480 7864 TITLE OF INVENTION: MODIFIED CARBOHYDRATE PROCESSING ENZYME APPLN. TYPE SMALL ENTITY ISSUE FEE DUE PUBLICATION FEE DUE PREV. PAID ISSUE FEE TOTAL FEE(S) DUE DATE DUE nonprovisional NO \$1510 \$0 \$0 \$1510 06/25/2009 **EXAMINER** ART UNIT CLASS-SUBCLASS CHOWDHURY, IQBAL HOSSAIN 1652 435-099000 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). 2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. or agents OR, alternatively, (2) the name of a single firm (having as a member a ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment. (A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY) 4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above) 4a. The following fee(s) are submitted: lssue Fee A check is enclosed. Publication Fee (No small entity discount permitted) Payment by credit card. Form PTO-2038 is attached. The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number ______ (enclose an extra copy of this fo Advance Order - # of Copies _ (enclose an extra copy of this form). 5. Change in Entity Status (from status indicated above) a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ■ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2). NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office. Authorized Signature Date Typed or printed name Registration No. This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

PTOL-85 (Rev. 08/07) Approved for use through 08/31/2010.

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			ART UNIT	PAPER NUMBER
ARLINGTON, V	A 22203		1652	
			DATE MAILED: 03/25/200	9

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 328 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 328 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 (571)-272-4200.

	Application No.	Applicant(s)				
	10/679,692	DAVIS, BENJAMIN G.				
Notice of Allowability	Examiner	Art Unit				
	 IQBAL H. CHOWDHURY	1652				
The MAILING DATE of this communication apperall claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RI of the Office or upon petition by the applicant. See 37 CFR 1.313 1. ☑ This communication is responsive to 12/29/2008. 2. ☑ The allowed claim(s) is/are 1-15, 23, 27-32, 38-40, 42-45. 3. ☐ Acknowledgment is made of a claim for foreign priority unal ☐ All ☐ b) ☐ Some* c) ☐ None of the:	ears on the cover sheet with this apport or other appropriate communication is subject to and MPEP 1308.	correspondence address oplication. If not included n will be mailed in due course. THIS				
1. Certified copies of the priority documents have						
2. Certified copies of the priority documents have been received in Application No						
 Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)). 						
* Certified copies not received:						
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONM THIS THREE-MONTH PERIOD IS NOT EXTENDABLE. 4. A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which give	IENT of this application. itted. Note the attached EXAMINER	R'S AMENDMENT or NOTICE OF				
5. CORRECTED DRAWINGS (as "replacement sheets") mus	st be submitted.					
(a) ☐ including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached						
1) hereto or 2) to Paper No./Mail Date						
(b) including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of						
each sheet. Replacement sheet(s) should be labeled as such in the	-	•				
6. DEPOSIT OF and/or INFORMATION about the depo- attached Examiner's comment regarding REQUIREMENT						
Attachment(s) 1. ☐ Notice of References Cited (PTO-892) 2. ☐ Notice of Draftperson's Patent Drawing Review (PTO-948) 3. ☐ Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date 4. ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material	_	/ (PTO-413), ate				
	9.					

DETAILED ACTION

Application Status

Claims 1-15, 23, 27-32, 38-40 and 42-45 are currently pending in this application.

In response to a previous Office action, a non-final action (mailed on September 26, 2008), Applicants filed an amendment on December 29, 2008, amending claims 1, 5, 27, 30, 39-40 and 42-45, canceling claims 16-17, 19-21 and 41 is acknowledged.

Claims 27-32 and 44-45 remain withdrawn.

Claims 1-15, 23, 38-40 and 42-43 are under consideration.

Election/Restriction

Claims 1-15, 23, 38-40 and 42-43 are directed to an allowable product. Pursuant to the procedures set forth in MPEP § 821.04(b), Claims 27-32 and 44-45 are directed to the process of using the allowable product, previously withdrawn from consideration as a result of a restriction requirement, mailed on 3/15/2006 is hereby rejoined and fully examined for patentability under 37 CFR 1.104.

Because a claimed invention previously withdrawn from consideration under 37 CFR 1.142 has been rejoined, the restriction requirement of groups I and III (claims 1-23, and 27-32 and 44-45) as set forth in the Office action mailed on 3/15/2006 is hereby withdrawn. In view of the withdrawal of the restriction requirement as to the rejoined inventions, applicant(s) are advised that if any claim presented in a continuation or divisional application is anticipated by, or includes all the limitations of, a

claim that is allowable in the present application, such claim may be subject to provisional statutory and/or nonstatutory double patenting rejections over the claims of the instant application. Once the restriction requirement is withdrawn, the provisions of 35 U.S.C. 121 are no longer applicable. See *In re Ziegler*, 443 F.2d 1211, 1215, 170 USPQ 129, 131-32 (CCPA 1971). See also MPEP § 804.01.

EXAMINER'S AMENDMENT

An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with Gary R. Tanigawa, the representative of the instant application on March 11, 2009.

Amend claims as follows:

- Claim 1 A modified polypeptide having β-glycosidase activity, said polypeptide comprising an amino acid sequence selected from:
 - (a) the amino acid sequence of SEQ ID NO:2 mutated <u>at an amino</u> acid residue or residues selected from the group consisting of W433, E432 and M439 and combinations thereof;
 - (b) the amino acid sequence of a family 1 glycosyl hydrolase mutated at an amino acid residue or residues corresponding to an amino acid

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residue or residues selected from the group consisting of W433, E432 and M439 of SEQ ID NO:2 and combinations thereof; and

- (c) a variant of (a) having β-glycosidase activity and mutated at an amino acid residue or residues corresponding to an amino acid residue or residues selected from the group consisting of W433, E432 and M439 of SEQ ID NO:2 and combinations thereof, wherein said variant has at least 95% identity to SEQ ID NO:2 and combinations thereof-over the entire length of the sequence.
- Claim 8 The polypeptide according to claim 7, wherein the positively-charged group is of formula— $(CH_2)n-N^+R_3$ — $(CH_2)n-N^+(R)_3$, wherein n is a positive integer from 1 to 4 and each R, which may be the same or different, is H or a C_1 - C_4 alkyl group.
- Claim 9 The polypeptide according to claim 8, wherein the positively-charged group is—CH₂CH₂NMe₃⁺ -CH₂CH₂N⁺(CH₃)₃.
- Claim 27 A method for hydrolysing a p-glycoside, which method comprises contacting a glycoside substrate with a modified polypeptide having β-glycosidase activity, said polypeptide comprising an amino acid sequence selected from:

- (a) the amino acid sequence of SEQ ID NO:2 mutated at an amino acid residue or residues_selected from the group consisting of W433, E432 and M439 and combinations thereof;
- (b) the amino acid sequence of a family 1 glycosyl hydrolase mutated <u>at</u> an amino acid residue or residues_corresponding to an amino acid residue or residues selected from the group consisting of W433, E432 and M439 <u>of SEQ ID NO:2</u> and combinations thereof; <u>and</u>
- (c) a variant of (a) having β-glycosidase activity and mutated at an amino acid residue or residues_corresponding to an amino acid residue or residues selected from the group consisting_of W433, E432 and M439 of SEQ ID NO:2 and combinations thereof_wherein said variant has at least 95% identity to SEQ ID NO:2 over the entire length of the sequence.

Allowable Subject Matter

Claims 1-15, 23, 27-32, 38-40 and 42-45 are allowed.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Iqbal Chowdhury, whose telephone number is (571) 272-8137. The examiner can normally be reached on Monday-Friday from 9:00-5:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Nashaat T. Nashed, Ph.D. can be reached at (571) 272-09234.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for

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published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Iqbal Chowdhury, Patent Examiner Art Unit 1652

/Nashaat T. Nashed/ Supervisory Patent Examiner, Art Unit 1652